



„Initiative for Excellence – Research University”



## COMPETITION REGULATIONS

„Funding of increased scholarships for the best doctoral students at doctoral schools”

(Action 5)

### § 1

#### General provisions

1. For the purpose of the Regulations::

**Act** - this should be understood as the Act of July 20, 2018. Law on Higher Education and Science (i.e. Journal of Laws. 2023 item 742 as amended)

**AGH** – stands for AGH University of Krakow;

**IDUB** - stands for the Initiative for Excellence - Research University programme at AGH;

**Doctoral Student** - shall mean a PhD student in the Doctoral School of AGH, the Krakow School of Interdisciplinary PhD Studies, who receives a doctoral scholarship referred to in Article 209 of the Act.

**Regulations** – shall be understood as the rules of the competition „Funding of increased scholarships for the best doctoral students at doctoral schools”;

**Competition** – should be understood as a competition defined by the Regulations „Funding of increased scholarships for the best doctoral students at doctoral schools”;

**Applicant** - refers to a doctoral student who submitted application for financing, meeting the conditions contained in §3 of the "Regulations for payment of doctoral scholarships for doctoral students of doctoral schools".

**Beneficiary** – refers to an Applicant whose application has been accepted for financing based on competition procedures;

**Unit** - means a basic organizational unit of AGH or another AGH unit conducting research activity.

2. These Regulations define the terms and conditions of participation in the Competition.

3. The Competition is organized by the AGH University of Krakow.

4. The content of these Regulations is communicated to doctoral students of Doctoral Schools on the AGH website: <https://www.idub.agh.edu.pl/>.

5. Regulations of the Competition and its subsequent editions are announced by the Project Chief Manager on the website <https://www.idub.agh.edu.pl/>. The announcement of the launch of the Competition edition shall include the deadline for submitting applications and the amount of the scholarship of the I, II and III degree.

## **§ 2**

### **Aim of the Competition**

The aim of the competition is:

- attracting the best candidates to the Doctoral School,
- creating conditions that allow doctoral students to work effectively on their research,
- motivating doctoral students to work intensely.

## **§ 3**

### **Objectives of the Competition**

1. The subject of the Competition is to award an increased scholarship for scientific achievements as part of the doctoral scholarship referred to in Article 209 of the Act and in accordance with the "Regulations for Payment of the Doctoral Scholarship for Doctoral School Students".
2. The evaluation covers achievements of the last academic year, prior to the year in which the competition is held.

## **§ 4**

### **Conditions of participation**

1. The applicant submits the application through a computer system HYDRA available on the website <https://wnioski.idub.agh.edu.pl/>. An application is considered submitted upon the approval of the doctoral student's Supervisor. Only applications that are complete and meet all the requirements specified in the Regulations and the Competition announcement are accepted for the Competition.
2. The application shall be prepared based on the following scientific achievements, i.e. scientific publications, (articles, conference papers, scientific monographs, excluding chapters in monographs) in journals and conferences with a score of not less than 70 points (hereinafter referred to as Pc) according to the latest list of journals and the latest list of publishing houses, drawn up and made available by the Minister for Science in the calendar year in which the scientific publication in question was published in its final form, appropriate to the journal or publishing house, and if in the year of publication of the scientific publication the appropriate list was not drawn up and made available - according to the last list drawn up and made available in previous years
3. The basis for applying for increased scholarships for the best doctoral students of doctoral schools is to have at least one scientific achievement documented by a scientific publication that has received 70, 100, 140 or 200 ministerial points during the evaluated period. Co-authorship of a monograph for 80 points cannot be the basis for application. The number of points for scientific achievements is determined in accordance with the most recent list of journals and the most recent list of publishing houses, drawn up and made available by the Minister for Science in the calendar year in which the scientific publication in question was published in its final form, appropriate to the journal or publishing house, and if in the year of publication of the scientific publication the appropriate list was not drawn up and made available - in accordance with the most recent list drawn up and made available in previous years

4. Academic achievements do not include: revision of an article in a high-scoring journal, an abstract or poster from a conference (except for abstracts and posters registered in the WoS database as "proceedings paper"), editing of monographs, review articles, editorials (preliminary articles), extended abstracts, letters, errata and editorial notes, and other publications by individual decisions of the IDUB Project Manager.
5. The application should be based on the achievements shown in the Bibliography of Scientific Publications of AGH Employees as of September 30 of the academic year preceding the academic year to which the application relates.
6. The increase in the scholarship referred to in §3 section 1 is granted by the IDUB Project Manager for 12 months in a given academic year (subject to the loss or withholding of the scholarship referred to in Article 209 of the Act or the suspension of education at the Doctoral School), in the amount of the monthly scholarship increase specified in the announcement of the relevant competition edition, based on the ranking lists drawn up by the Competition Committee.
7. The own contribution for the settlement of the IDUB project is the basic doctoral scholarship collected by the Beneficiary of support, posted by the Unit in the off-balance sheet account 896.No.Unit
8. The AGH pays social insurance on the increase of the scholarship for scientific achievements, as provided for in the regulations on the social insurance system. Doctoral students who receive an increased scholarship for scientific achievements are subject to compulsory insurance: retirement pension, disability pension, accident insurance and voluntary sickness insurance.

## **§ 5**

### **Competition Committee**

1. The Competition Committee is appointed by the IDUB Project Manager.
2. The Competition Committee consists of, in particular: Director of the AGH Doctoral School or Deputy Director of the AGH Doctoral School and a representative of the AGH Doctoral Student Council.

## **§ 6**

### **Evaluation criteria**

1. The score for a doctoral student for the scientific achievement referred to in §4, paragraph 3 is determined by calculating the share of the doctoral student in the achievement. In the case of multi-author publications, a doctoral student receives  $P_c \cdot \text{increase referred to in section 7} \cdot (\text{author's share})^{0.5}$ .  $P_c$  means the so-called total score - the number of ministerial points for a given publication, and the author's share is taken as  $1/m$ , where  $m$  is the number of authors of the total publication, subject to paragraph 8 below.
2. If a percentage-share statement signed by all co-authors is submitted, the author's share will be accepted on the basis of the percentage share indicated in the statement. The percentage shares declared by the authors will be registered in the AGH Main Library information system. In the case of a different than proportional share of the authors, declared in the achievements referred to in paragraph 9 c), d), e), the percentage share according to the authors' contribution declared in the achievement, based on the data in the AGH Main Library, shall be used to calculate the Applicant's score.
3. If it is not possible to obtain a statement of percentage shares in the publication from a foreign co-author who uses only the affiliation of foreign institutions or a written statement from the AGH co-author about this situation, the unknown share for the foreign co-author

can be indicated as a share in proportion to the number of all co-authors of the publication and the distribution of the remaining shares can be made in accordance with the statements of the other co-authors. The rule does not apply when determining the percentage shares in patents. The percentage or proportional shares declared by the authors will be registered in the computer system of the AGH Main Library.

4. A score for an achievement may be included if:
  - a. the AGH affiliation has been submitted properly,
  - b. osiągnięcie zostało zarejestrowane w systemie informatycznym w Bibliotece Głównej AGH (BPP),
  - c. The doctoral student has submitted to AGH a statement of the disciplines practiced and a statement declaring the achievement to one of the two disciplines indicated by him/her, and has authorized AGH to include this achievement in the evaluation by submitting to the BG AGH a statement as specified in the Rector's Regulation No. 87/2020.
5. The statements referred to in paragraphs 3, 4 and 5(c) should be submitted no later than the closing date of the call for applications in the Competition.
6. The total score for the publication achievement will be increased by:
  - a. 25% if the co-authors of the publication are authors with foreign affiliation, or if the doctoral student is the first author of the article or a corresponding author,
  - b. 35% if the publication was published in a scientific journal or conference proceedings included in the first decile according to the Scopus database (Top10%). The Top10% rate for a journal or conference is determined in accordance with the rate in the Scopus database relevant to the calendar year in which the achievement was published, and if there is no rate from a given year, the rate from the year preceding the year of publication is used,
  - c. 35% if the publication is a monograph of publishers whose name is included in the list of level II publishers published by Ministry of Education and Science (MEiN) on the list in effect in the calendar year in which the monograph was published, except for monographs with a score of 300 points,
  - d. 50% if the publication was published in a scientific journal for which bibliometric indices in the Scopus database simultaneously meet the following conditions: SNIP $\geq$ 3.0 and SJR $\geq$ 2.8, for the index values in the calendar year in which the achievement was published, and in the absence of an index value specified in a given year, the index of the year preceding the year of publication is used.
7. The above scoring increases shall not be applied simultaneously. Increases are not applied to the achievements referred to in paragraph 9 below.
8. The applicant will receive additional points for other achievements, where k is the declared percentage of the authors of the achievement:
  - a. documented leadership of a grant awarded to AGH by national institutions for the implementation of a scientific project - 50 points,
  - b. documented leadership of a grant awarded to AGH by foreign institutions for a scientific project - 100 points,
  - c. obtaining a positive state of the art search report from the European Patent Office (EPO) - no indication by the EPO of documents undermining novelty (symbol X) for a patent application in which AGH is the patentee - 50\*k points,
  - d. 100/m for the published first foreign patent application granted to AGH, i.e., either a European or first foreign patent applied for in at least one of the OECD (OWGiR) member countries,
  - e. 75/m for a published national patent application for AGH, i.e. a patent granted by the Patent Office of the Republic of Poland,

- f. active personal participation (by which is meant personal delivery of a paper or presentation of a poster-poster) in international and national conferences; international conference: 5 pts - poster session, 10 pts - featured poster, 10 pts. - paper, 15 pts - distinguished paper; national conference: 2 pts - poster session, 5 pts - paper.
9. If more than one patent was granted for the same invention, only one such achievement with the highest score in the period for which the evaluation takes place may be included in the achievements. The date of granting a patent or utility model shall be the date of its publication in the "News of the Patent Office", the "European Official Bulletin" or other equivalent publication abroad. In the case of two or more dates, the earliest date shall be adopted.

### **§ 7 Principles of application evaluation**

1. Applications are subject to formal evaluation and substantive assessment.
2. Formal evaluation of applications is carried out by the IDUB Project Office. Formal evaluation of applications includes:
  - a) assessment of the application for its completeness,
  - b) evaluation whether the application meets all the requirements set out in the Regulations and the announcement of the Competition,
3. Applications that have received a positive formal evaluation may be directed to substantive evaluation.
4. An application may also be rejected for formal reasons at the stage of substantive evaluation referred to in paragraph 5.
5. Substantive evaluation of applications is carried out by a commission appointed for this purpose by the Project Manager, hereinafter referred to as the "Commission".
6. An increased doctoral scholarship may be awarded to a doctoral student in the first year of training at a doctoral school who has met all of the following conditions:
  - a. achieved in the course of his second degree or unified master's studies, a grade point average above 4.75,
  - b. obtained in the admission procedure for the doctoral school a result placing him in the top 30% of candidates admitted to the doctoral school for the first year of study. If the top 30% of candidates is a non-integer number, rounding down to a whole number shall be used,or
  - c. based on scientific achievements in accordance with § 3(2). For candidates who were not students or employees of AGH during the evaluation period, the requirements referred to in § 6(4) of the Regulations do not apply, with the exception of the requirement to declare the scientific discipline practiced and the obligation to precisely present a list of scientific achievements with their dates of achievement. The list so presented is subject to verification by the AGH Main Library.
7. Ranking lists, referred to in § 4, paragraph 6, are prepared according to the following categories:
  - a. doctoral students in the first year of the doctoral school evaluated on the basis of the admission rate,
  - b. doctoral students of their first and subsequent years of study at the doctoral school for which the scientific achievements were evaluated.

8. The position on the ranking list of doctoral students in the first year of study evaluated on the basis of the admission rate, depends on the enrollment factor and is determined in accordance with paragraphs 6a and 6b above.
9. Ranking lists for doctoral students evaluated on the basis of academic achievement may be drawn by disciplines
10. The increased scholarship is awarded to doctoral students who have scored the highest number of points for assessable achievements or the admission rate, whereby:
  - a. no more than 1/3 of the support beneficiaries receive a first level scholarship,
  - b. the remaining beneficiaries receive a 2nd and 3rd level scholarship.
11. The decision on funding is made by the IDUB Project Manager based on the ranking list of applications.
12. Increased doctoral scholarship is granted in the form of a decision constituting an internal act, indicating its amount and period of payment.

## **§ 8**

### **Final provisions**

1. A doctoral student has the right to submit a request for reconsideration to the Project Manager within 14 days from the date of receipt of the decision, against the decision to grant or refuse to grant an increased stipend.
2. Any violation of the Regulations by the Competition Beneficiary will result in his/her exclusion from the Competition.
3. The provisions of the Regulations constitute the basis for the conduct of the Competition, and their interpretation rests with the Competition Committee.
4. The Committee is not responsible for applications that do not reach it for reasons beyond its control.
5. The Competition Committee is not responsible for any false data given by the participants. Providing false data will result in deprivation of the right to grant funds.
6. These Regulations are the only document determining the rules of participation in the Competition.
7. During the Competition, the Organizer reserves the right to change the provisions of the Regulations at any time, unless this adversely affects the conditions of participation in the Competition.
8. Any matters not covered by the Competition Regulations are governed by generally applicable provisions of the Polish Law and also applicable internal acts of AGH.
9. The Regulations become effective upon their announcement.